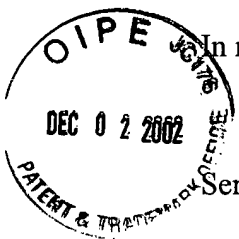


\$DAC  
#43

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re Application of:

Baron, et al.

Serial No: 09/021,660

Filed: February 10, 1998

For: Methods for Regulating  
Hematopoiesis and Vascular Growth

Attorney Docket No. HUIP-P01-060

Art Unit: 1646

Examiner: Claire M. Kaufman

RECEIVED  
DEC 3 2002  
OFFICE OF PETITIONS

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents Washington, D.C. 20231 on the date indicated below:

November 26, 2002

Date of Signature  
and of Mail Deposit

Brent LaBarge

Commissioner for Patents  
Washington, D.C. 20231

**NOTIFICATION OF LOSS OF SMALL ENTITY STATUS AND PAYMENT OF FEES**  
**UNDER 37 CFR 1.28**

Sir:

Applicants assert herewith that, at the time of filing, status as a small entity was established in good faith and fees as a small entity were paid in good faith for the above-referenced patent application. However, Applicants lost entitlement to small entity status on July 18, 2001, and, through error, the Office was not notified of a loss of entitlement to small entity status as required by § 1.27(g)(2). Accordingly, Applicants submit herewith payment of fees which were mistakenly paid at the small entity rate on and after July 18, 2001, for the above-referenced patent application. The fees paid and the respective discrepancies due are itemized hereinbelow in compliance with 37 CFR 1.28(c)(2).

Adjustment date: 12/03/2002 SLUANG1  
08/30/2001 GTEFFERA 00000067 181945 09021660  
01 FC:279 355.00 CR  
12/03/2002 SLUANG1 00000011 181945 09021660  
01 FC:1801 740.00 CH

Fee Description	Date Authorized	Current Fee	Fee Paid	Balance Due
Request for Continued Examination	August 23, 2001	740.00	355.00	385.00
				<b>Total Due: 385.00</b>

Although Applicants believe no additional fees are due, the Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. HUIP-P01-060. A duplicate copy of this paper is enclosed. Please direct any questions arising from this submission to the undersigned at (617) 951-7615.

Respectfully Submitted,

Date: November 26, 2002

**Customer No: 28120**  
Docketing Specialist  
Ropes & Gray  
One International Place  
Boston, MA 02110  
Phone: 617-951-7615  
Fax: 617-951-7050



\_\_\_\_\_  
David P. Halstead  
Reg. No: 44,735

## Transaction List

Search by

☐ Accounting Date:  Operator ID:   
(MMDDYY)

Accounting Date

Starts:

Ends:

☒ Name/Number: 09021660

☐ Attny Docket No:

☐ Deposit Account No.:



Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
10/30/2002	JADDO1	121	SALE	1253	A	920.00	09021660	181945
10/30/2002	JADDO1	120	SALE	1801	A	740.00	09021660	181945
07/31/2002	AWONDAF1	112	SALE	119	A	320.00	09021660	181945
08/30/2001	GTEFFERA	76	SALE	279	A	355.00	09021660	181945
07/11/2001	BNGUYEN1	322	SALE	217	A	250.00	09021660	181945
07/11/2001	BNGUYEN1	321	SALE	219	A	155.00	09021660	181945
06/07/2001	RHARIS1	140	SALE	216	A	195.00	09021660	181945
12/11/2000	AWONDAF1	122	SALE	203	A	8.00	09021660	
12/11/2000	AWONDAF1	121	SALE	203	A	10.00	09021660	061448
12/11/2000	AWONDAF1	120	SALE	231	A	355.00	09021660	
12/08/2000	SILIANGI	155	SALE	217	A	435.00	09021660	



Adjustment Detail

Authorization Detail



1.28C